



**Notice of a public meeting of  
Audit and Governance Committee**

<b>To:</b>	Councillors J Burton (Vice-Chair), Hollyer (Chair), Fisher, Mason, Melly, Rose and Whitcroft Mr Binney (Independent Member) Mr Leigh (Independent Member)
<b>Date:</b>	Wednesday, 28 February 2024
<b>Time:</b>	5.30 pm
<b>Venue:</b>	The Snow Room - Ground Floor, West Offices (G035)

**AGENDA**

**1. Declarations of Interest (Pages 1 - 2)**

At this point in the meeting, Members and co-opted members are asked to declare any disclosable pecuniary interest, or other registerable interest, they might have in respect of business on this agenda, if they have not already done so in advance on the Register of Interests. The disclosure must include the nature of the interest.

An interest must also be disclosed in the meeting when it becomes apparent to the member during the meeting.

*[Please see attached sheet for further guidance for Members].*

**2. Exclusion of Press and Public**

To consider the exclusion of the press and public from the meeting during consideration of the following:

Annexes 3 to 10 to Agenda Item 12 (Audit & Counter Fraud Progress Report) on the grounds that it contains information relating to information relating to the financial or business affairs of any particular person (including the authority holding that information).

This information is classed as exempt under paragraph 3 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

**3. Minutes & Action Log (Pages 3 - 26)**

To approve and sign the minutes of the meeting held on 31 January 2024.

**4. Public Participation**

At this point in the meeting members of the public who have registered to speak can do so. Members of the public may speak on agenda items or on matters within the remit of the Committee.

Please note that our registration deadlines are set as 2 working days before the meeting, in order to facilitate the management of public participation at our meetings. The deadline for registering at this meeting is **5:00pm on Monday, 26 February 2024.**

To register to speak please visit [www.york.gov.uk/AttendCouncilMeetings](http://www.york.gov.uk/AttendCouncilMeetings) to fill in an online registration form. If you have any questions about the registration form or the meeting, please contact Democratic Services. Contact details can be found at the foot of this agenda.

**Webcasting of Public Meetings**

Please note that, subject to available resources, this meeting will be webcast including any registered public speakers who have given their permission. The meeting can be viewed live and on demand at [www.york.gov.uk/webcasts](http://www.york.gov.uk/webcasts).

During coronavirus, we made some changes to how we ran council meetings, including facilitating remote participation by public speakers. See our updates ([www.york.gov.uk/COVIDDemocracy](http://www.york.gov.uk/COVIDDemocracy)) for more information on meetings and decisions.

**5. Consultation Update (Pages 27 - 30)**

The purpose of the report is to provide details of consultation issued by the Department for Levelling Up, Housing & Communities (DLUHC) in respect of local audit delays.

**6. Update on Action Plan - No Purchase Order No Pay (Pages 31 - 40)**

The report provides an update to members of Audit & Governance Committee about the ongoing review of No Purchase Order No Pay processes. It details the progress made since the report to members in November 2023.

**7. Corporate Governance Performance Report (Pages 41 - 54)**

This report provides Members with updates in respect of:

- Responses and updates to queries and questions from Committee in November 2023
- Corporate Governance Team (CGT) performance indicators update
- Information Commissioners Office update
- Ombudsmen update including
- Local Government and Social Care Ombudsman (LGSCO) and Housing Ombudsman Service (HOS) cases from the previous report in November 2023 to date of preparing this report on 13 February 2024
- Update on LGSCO and HOS complaint handling codes

**8. Early Intervention and Prevention of Roughsleeping - Contract Review (Pages 55 - 64)**

This report reviews the scope, establishment operation and end of the contract for Early Intervention and Prevention.

**9. Update on the Review of the Constitution**

A verbal update will be provided at the meeting.

**10. Internal Audit Work Programme Consultation 2024/25**

(Pages 65 - 82)

This report outlines arrangements for developing the internal audit work programme. It also asks for the Audit & Governance Committee's views on any areas it thinks should be considered for audit in 2024/25.

**11. Audit and Governance Work Plan 2024** (Pages 83 - 84)

To consider the Committee's work plan.

**12. Audit & Counter Fraud Progress Report** (Pages 85 - 192)

This report provides an update on the delivery of the internal audit work programme for 2023/24 and on counter fraud activity undertaken so far in the year.

**13. Urgent Business**

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Louise Cook

Telephone: (01904) 551031

Email: [louise.cook@york.gov.uk](mailto:louise.cook@york.gov.uk)

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.